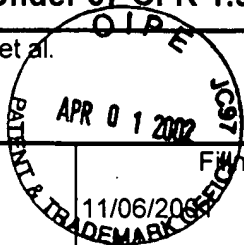


**TRANSMITTAL OF INFORMATION DISCLOSURE STATEMENT**  
(Under 37 CFR 1.97(b) or 1.97(c))

Docket No.  
54084/8682

In Re Application: Awh, et al.



Serial No.	Filing Date	Examiner	Group Art Unit
09/992,232	11/06/2001	Not yet assigned	3731

RECEIVED

APR - 5 2002

**Payment of Fee**

TECHNOLOGY CENTER P0700

(Only complete if Applicant elects to pay the fee set forth in 37 CFR 1.17(p))

- ☐ A check in the amount of \_\_\_\_\_ is attached.
- ☐ The Assistant Commissioner is hereby authorized to charge and credit Deposit Account No. 20-0823 as described below. A duplicate copy of this sheet is enclosed.
- ☐ Charge the amount of \_\_\_\_\_
- ☐ Credit any overpayment.
- ☐ Charge any additional fee required.

**Certificate of Transmission by Facsimile\***

I certify that this document and authorization to charge deposit account is being facsimile transmitted to the United States Patent and Trademark Office (Fax No. \_\_\_\_\_) on \_\_\_\_\_

(Date)

Signature

Typed or Printed Name of Person Signing Certificate

**\*This certificate may only be used if paying by deposit account.**

**Certificate of Mailing by U.S. Mail**

I certify that this document and fee is being deposited on March 26, 2002 with the U.S. Postal Service as U.S. Mail under 37 C.F.R. 1.8 and addressed to the Assistant Commissioner for Patents, Washington, D.C. 20231.

*Joseph M. Rolnicki*

Signature of Person Mailing Correspondence

Joseph M. Rolnicki

Typed or Printed Name of Person Mailing Certificate

*Joseph M. Rolnicki*  
Signature

Joseph M. Rolnicki, Reg. No. 32653  
Thompson Coburn LLP  
One US Bank Plaza  
St. Louis, Missouri 63101-9928  
314-552-6286  
314-552-7286 FAX

Dated: March 26, 2002

Customer No: 021888

Express Mail No.:

**TRANSMITTAL OF INFORMATION DISCLOSURE STATEMENT**  
(Under 37 CFR 1.97(b) or 1.97(c))

Docket No.  
54084/8682

In Re Application Of: Awh, et al.

Serial No.  
09/992,232

Filing Date

Examiner  
Not yet assigned

Group Art Unit

3731

Title: DIRECTIONAL ENDO-ILLUMINATOR

Address to:  
Assistant Commissioner for Patents  
Washington, D.C. 20231

**37 CFR 1.97(b)**

1. ☒ The Information Disclosure Statement submitted herewith is being filed within three months of the filing of a national application other than a continued prosecution application under 37 CFR 1.53(d); within three months of the date of entry of the national stage as set forth in 37 CFR 1.491 in an international application; before the mailing of a first Office Action on the merits, or before the mailing of a first Office Action after the filing of a request for continued examination under 37 CFR 1.114.

**37 CFR 1.97(c)**

2. ☐ The Information Disclosure Statement submitted herewith is being filed after the period specified in 37 CFR 1.97(b), provided that the Information Disclosure Statement is filed before the mailing date of a Final Action under 37 CFR 1.113, a Notice of Allowance under 37 CFR 1.311, or an Action that otherwise closes prosecution in the application, and is accompanied by one of:

☐ the statement specified in 37 CFR 1.97(e);

OR

☐ the fee set forth in 37 CFR 1.17(p).